

2005 DEC 22 PM 4: 17

PATENT  
2005 DEC 22 PM 4: 17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pai-Hung Pan

Serial No.: 09/072,959

Filed: May 5, 1998

For: TECHNIQUE FOR FORMING  
SHALLOW TRENCH ISOLATION  
STRUCTURE WITHOUT CORNER  
EXPOSURE AND RESULTING  
STRUCTURE

Confirmation No.: 7136

Examiner: G. Fourson III

Group Art Unit: 2823

Attorney Docket No.: 2269-2919.4US  
(96-0499.01/US)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 20, 2005  
Date

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Sir:

Enclosed are copies of a Transmittal Form for an Appeal Brief and USPTO date-stamped postcard showing receipt of the same by the Office on September 23, 2003. Also enclosed is a copy of Check No. 4976 in the amount of \$320.00 cashed on July 7, 2004. Additionally, enclosed is a copy of a Monthly Statement of Deposit Account showing a charge to our deposit account on April 26, 2004 for the Appeal Brief fee (\$330.00).

Adjustment date: 01/19/2005 EEKUBAY1  
04/26/2004 YSHORT 00000003 201469 09072959  
01 FC:1402 330.00 CR

Serial No. 09/072,959

An Appeal Brief and Check No. 4976 in the amount of \$320.00 were expressed mailed to the Office on September 18, 2003. The file was marked lost between October 2, 2003 and March 29, 2004. Inquiries were made as to why the check had not been cashed. On April 14, 2004 the Office was given authorization to charge \$330.00 for the missing fee. On July 7, 2004 the missing check was cashed. Copies of the file history through PAIR, correspondence between attorney, paralegal and PTO customer service are enclosed. Therefore, the amount of \$330.00 for the Appeal Brief fee charged to our deposit account on April 26, 2004 was in error. Applicant therefore requests a refund of the Appeal Brief fee (\$330.00) charged to Deposit Account 20-1469. The total amount of \$330.00 should be refunded, which amount represents the erroneous deposit account charge for an Appeal Brief fee.

Serial No. 09/072,959

Please refund this amount directly to Applicant's attorney at the address listed below. A self-addressed postage prepaid envelope is enclosed herewith.

Respectfully submitted,



Brick G. Power  
Registration No. 38,581  
Attorney for Applicant  
TRASKBRITT, PC  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: December 20, 2005

Enclosures: Transmittal Form (1 page)  
USPTO date stamped postcard (1 page)  
Copy of Check No. 4976 (1 page)  
USPTO Deposit Account Statement (2 pages)  
PAIR File History listing (3 pages)  
Attorney/Paralegal correspondence (1 page)  
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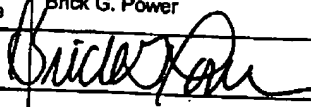
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Application Number	09/072,959
Filing Date	May 5, 1998
First Named Inventor	Pal-Hung Pan
Group Art Unit	2823
Examiner Name	G. Fourson III
Attorney Docket Number	2269-2919.4US (96-0499.01/US)

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Firm or Individual name	Brick G. Power	Registration No. 38,581
Signature		
Date	September 18, 2003	

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EXPOSURE AND RESULTING STRUCTURE  
Applicant(s): Pai-Hung Pan  
Filing Date: May 5, 1998  
Serial No.: 09/072,959  
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04/15	42	SUBSCRIPTION		8001	\$6.00	\$14,141.59
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04/16	34	09558581	4250US-97-35	1504	\$285.00	\$12,616.59
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## Deposit Account Statement

Page 2 of 2

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04/20 21	09874626	2183.4041.US	1253	-\$475.00	\$13,741.59
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04/20 224	10305435	2578-5592US	1251	\$110.00	\$13,256.59
04/21 601	78405235	1858-TM2013US	7001	\$1,340.00	\$11,916.59
04/21 666	78405271	1858-TM2014US	7001	\$1,340.00	\$10,576.59
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04/23 6	10611667	2102-5841US	8021	\$40.00	\$10,412.59
04/23 424	78406666	1194-TM2045US	7001	\$335.00	\$10,077.59
04/26 3	09072959	2919.1US-96	1402	\$330.00	\$9,747.59
04/26 381	PCT/US04/12291	5704.1PC	1702	\$181.00	\$9,566.59
04/26 383	PCT/US04/12291	5704.1PC	8007	\$20.00	\$9,546.59
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04/26 655	2235065	2792-TM1242US	7208	\$200.00	\$9,246.59
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04/27 1100	1857798	1858-TM718.1US	7205	\$100.00	\$9,186.59
04/27 1101	1857798	1858-TM718.1US	7201	\$400.00	\$8,786.59
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04/28 79	78265343	2671-TM1963US	7003	\$100.00	\$9,586.59
04/28 170	10831787	2833-4499.2	1201	\$187.00	\$9,399.59
04/28 172	10831787	2833-4499.2	1202	\$198.00	\$9,201.59
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START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$14,357.59	\$12,979.00	\$7,633.00	\$9,011.59

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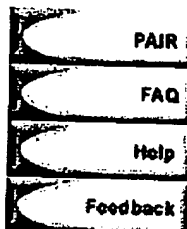




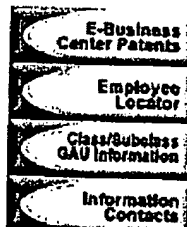
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## PATENT APPLICATION INFORMATION RETRIEVAL



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Search results for application number:09/072,959			
Application Number:	09/072,959	Customer Number:	-
Filing or 371(c) Date:	05-05-1998	Status:	Notice of Appeal Filed
Application Type:	Utility	Status Date:	09-12-2005
Examiner Name:	FOURSON III, GEORGE R	Location:	ELECTRONIC
Group Art Unit:	2823	Location Date:	-
Confirmation Number:	7136	Earliest Publication No:	US 2001-00145
Attorney Docket Number:	2919.1US	Earliest Publication Date:	08-16-2001
Class/ Sub-Class:	438/424	Patent Number:	-
First Named Inventor:	PAI HUNG PAN, BOISE, ID (US)	Issue Date of Patent:	-
Title Of Invention:	TECHNIQUE FOR FORMING SHALLOW TRENCH ISOLATION STRUCTURE WITHOUT CORNER EXPOSURE AND RESULTING STRUCTURE		

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File History	
Date	Contents Description
09-12-2005	IFW TSS Processing by Tech Center Complete
09-06-2005	Notice of Appeal Filed
08-30-2005	Mail Advisory Action (PTOL - 303)
08-26-2005	Advisory Action (PTOL-303)
08-17-2005	Date Forwarded to Examiner
08-12-2005	Amendment after Final Rejection
06-22-2005	Mail Final Rejection (PTOL - 326)
06-21-2005	Final Rejection
05-06-2005	Date Forwarded to Examiner
05-02-2005	Response after Non-Final Action
05-02-2005	Request for Extension of Time - Granted
12-28-2004	Mail Non-Final Rejection

12-27-2004	Non-Final Rejection
10-20-2004	Date Forwarded to Examiner
10-12-2004	Response after Non-Final Action
10-12-2004	Workflow incoming amendment IFW
09-24-2004	Mail Notice of Informal or Non-Responsive RCE Amendment
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09-24-2004	Date Forwarded to Examiner
09-24-2004	Date Forwarded to Examiner
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09-24-2004	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CPA)
08-30-2004	Workflow Incoming amendment IFW
08-30-2004	Workflow - Request for RCE - Begin
06-28-2004	Mail Examiner's Answer
06-28-2004	Examiner's Answer to Appeal Brief
04-23-2004	Date Forwarded to Examiner
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10-02-2003	File Marked Lost
07-18-2003	Notice of Appeal Filed
07-18-2003	Request for Extension of Time - Granted
07-15-2003	Mail Advisory Action (PTOL - 303)
07-14-2003	Advisory Action (PTOL-303)
07-09-2003	Date Forwarded to Examiner
06-13-2003	Amendment after Final Rejection
04-08-2003	Mail Final Rejection (PTOL - 326)
04-07-2003	Final Rejection
02-05-2003	Date Forwarded to Examiner
01-29-2003	Response after Non-Final Action
10-24-2002	Mail Non-Final Rejection
10-21-2002	Non-Final Rejection
07-30-2002	Date Forwarded to Examiner
07-16-2002	Response after Non-Final Action
04-09-2002	Mail Non-Final Rejection
04-08-2002	Non-Final Rejection
01-25-2002	Date Forwarded to Examiner
01-16-2002	Request for Continued Examination (RCE)
01-25-2002	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CPA)
01-16-2002	Workflow - Request for RCE - Begin
01-07-2002	Mail Advisory Action (PTOL - 303)
01-07-2002	Advisory Action (PTOL-303)
12-14-2001	Date Forwarded to Examiner
12-11-2001	Amendment after Final Rejection
10-11-2001	Mail Final Rejection (PTOL - 326)
09-27-2001	Final Rejection
07-10-2001	Date Forwarded to Examiner
07-02-2001	Response after Non-Final Action
07-02-2001	New or Additional Drawing Filed
03-30-2001	Mail Non-Final Rejection
03-26-2001	Non-Final Rejection
01-23-2001	Date Forwarded to Examiner
01-11-2001	Continuing Prosecution Application - Continuation (ACPA)
01-11-2001	Mail Express Abandonment (During Examination)
01-11-2001	Express Abandonment (during Examination)

01-11-2001	Workflow - Request for CPA - Begin
01-04-2001	Mail Advisory Action (PTOL - 303)
01-04-2001	Advisory Action (PTOL-303)
12-19-2000	Information Disclosure Statement (IDS) Filed
12-12-2000	Date Forwarded to Examiner
12-08-2000	Amendment after Final Rejection
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10-10-2000	Final Rejection
07-25-2000	Date Forwarded to Examiner
07-24-2000	Response after Non-Final Action
07-24-2000	Information Disclosure Statement (IDS) Filed
04-25-2000	Mail Non-Final Rejection
04-24-2000	Non-Final Rejection
02-22-2000	Date Forwarded to Examiner
02-14-2000	Response after Non-Final Action
11-12-1999	Mail Non-Final Rejection
11-08-1999	Non-Final Rejection
06-14-1999	Information Disclosure Statement (IDS) Filed
06-24-1999	Case Docketed to Examiner in GAU
06-03-1998	Case Docketed to Examiner in GAU
05-05-1998	Preliminary Amendment
05-05-1998	Information Disclosure Statement (IDS) Filed
05-26-1998	Application Dispatched from OIPE
05-14-1998	IFW Scan & PACR Auto Security Review
05-07-1998	Initial Exam Team nn

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Serial No.: 09/072,959

Client/matter number: 2269-2919.4US

Group Art Unit: 2800

Message/Comments:

Wynette,  
Attached is the Appeal Brief and date stamped postcard receipt for the above case. Please charge the Appeal Brief fee of \$330.00 to our deposit account 20-1469, referencing attorney docket no. 2269-2919.4US If you need anything further, please do not hesitate to contact me. Thank you for all your help.

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